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The Relationship of Total Atmospheric Ozone to the Sunspot Cycle

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Abstract. For the 27-year period 1933-1959 inclusive, highly significant negative correlation is found between the relative sunspot number and the worldwide average of total atmospheric ozone. Peak correlation with respect to the present-day 10-year sunspot cycle is found at a lag of $1\frac{1}{2}$ to 2 years of the sunspots relative to ozone. The peak correlation of total atmospheric ozone with the mean latitudinal distance from the solar equator of the total area of sunspots is almost identical in magnitude to that with sunspot number. However, the peak correlation with sunspot latitude is found at a lag of less than 6 months of the ozone relative to sunspot latitude, in the opposite direction to that with sunspot number. This phase difference of the negative correlation suggests that atmospheric ozone is much more sensitive to sunspot latitude than to sunspot number.

INTRODUCTORY REMARKS

The author's longtime interest in atmospheric ozone as a possible link between solar activity and climate was increased by the implications of a recent paper by Kraus [1961]. He concludes that of the five possible factors of climatic control which he considers, ozone, volcanic dust, solar constant, solar corpuscular radiation, and CO₂, the probable effects of increased atmospheric ozone appear to fit best the general pattern of climatic change in high and low latitudes during recent decades. Subsequent discussion with Professor C. E. Palmer and with Dr. S. P. Venkiteshwaran at U.C.L.A. served to further this interest and to indicate the probable adequacy of present ozone data for a preliminary statistical check of any possible solar-ozone relationship. Apparently no previous effort has been made to check this possibility on a large scale.

A remark by Dr. Hans Dütsch at Boulder in April 1961 directed the author's attention to a compilation of all available northern hemispheric ozone data currently under preparation by Dr. J. London for Air Force Cambridge Research Laboratories. Dr. London was most obliging in supplying a complete tabulation of available data, in the form of monthly means of total ozone, for all observing stations of the northern hemisphere from the first observations at Oxford in 1925, up to and including 1959. Corresponding data from the southern hemisphere for Wellington, New Zealand, were kindly supplied by M. A. F. Barnett, Director of the New Zealand Meteorological Service, and for Aspendale (Melbourne), Brisbane, and Macquarie Island by C. H. B. Priestley, Chief of the Division of Meteorological Physics of the Scientific and Industrial Research Organization of the Commonwealth of Australia. All the ozone values, from all three sources, are based on the absorption coefficients found by Vigroux, and are expressed in the standard unit, milli-atmocentimeters; hence they should be strictly comparable.

PROCEDURAL TREATMENT OF THE DATA

Nature of the data and averaging procedures. All the data used in this study were supplied in the form of monthly averages of individual station measurements of total ozone. In every case the number of individual daily observations that went into the station monthly mean was listed along with the mean value as tabulated. The great majority of station monthly mean values represent from 20 to 31 individual daily values. a substantial number of them contain between 10 and 20 daily values, and a small scattering contain less than 10 daily values. However, in averaging monthly mean station values to obtain either an annual mean value for a single station or a calendar monthly average value for a number of stations, in no case were the individual station monthly mean values weighted by the number of daily observations. This procedure was followed because the sampling in-

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TABLE 1. Monthly and Annual Means of Total Atmospheric Ozone, 1925-1959

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* Monthly or annual ozone value. † Number of stations included in monthly or annual value. ‡ Average latitude of all stations contributing to average value. § See erplanation in text.

completeness is essentially random, at least with respect to the totality of stations, even if not with respect to the weather at a single station. Since consistent large variations of total ozone occur at every station with season of the year, and between all stations with latitude, it was felt that errors introduced by random incompleteness of the monthly observations would be much less than those that would be introduced by weighting individual station calendar monthly means by the number of daily observations represented.

Organization of the complete data for the study of the long-term fluctuation of ozone. In view of the impossibility of evaluating objectively the relative reliability of the ozone measurements at individual stations, it was decided to base this study on the entire body of ozone data. For this purpose every single recorded station value of monthly mean total ozone was included, even for stations that had only a few months' total record of observation. Obviously it is impossible under these circumstances to deal with station departures from normal, because many of the individual stations had records of very short duration and for many different time periods. All monthly mean station values were simply lumped together and averaged for each month from January 1925 through December 1959. The monthly average ozone values obtained in this manner obviously reflect variations of average latitude and longitude of the stations reporting for each month, and the annual average ozone values reflect also any monthly grouping of the reports. Nevertheless, in view of the lack of basis for any selective procedure, it appeared desirable to consider in this manner the entire body of data to make the sample as large as possible.

The total list of stations contributing data include 68 from the northern hemisphere, of which 26 are within $\pm 15^{\circ}$ of the Greenwich meridian, and 4 from the southern hemisphere, none of which report data taken before 1954.

Table 1 contains by months, and at the bottom by years, the average of the mean total ozone values, in milli-atmo-centimeters, of all of the 72 stations reporting, for each month, and for each year from 1925 to 1959. The number listed below each monthly or annual average ozone value is the total number of station monthly means included in that particular monthly or annual average value. Note that the annual average ozone value listed at the bottom of each annual column is the average of all monthly average values listed in the column, not weighted by the number of station values in each. For the first eight years, 1925-1932 inclusive, with each monthly average ozone value is listed also the average latitude of all of the stations contributing to that monthly average, and for each annual average value the average of the latitudes for all of the contributing calendar months, again not weighted by the number of station values included in each monthly average. The last column to the right in Table 1 contains three figures for each calendar month. The figure at the upper left is the simple average of all of the average monthly mean ozone values for that calendar month as listed in the corresponding line of the table. The upper figure at the right is the same average when the monthly average for each year is weighted by the number of stations contributing. The lower figure is the total number of station monthly means included in each monthly average in the entire period of years, i.e., the simple sum of the individual monthly station numbers in the line.

The results presented in Table 1 are discussed briefly in the next section.

 TABLE 2.
 Departures of Average Total Ozone for Extreme Years from All Years

	1933	1937	1941	1946	1952	1955	Average All Years
Jan. Feb. Mar. Apr. May June July Aug. Sept. Oct. Nov. Dec.	+26 +5 +8 -14* +3 +18 +1 +10 +13 +11 +9 +27	$\begin{array}{r} -6 \\ +3^* \\ -9 \\ -33 \\ -37 \\ -33 \\ -33 \\ -25 \\ -12 \\ -6 \\ -19 \\ +3^* \end{array}$	$\begin{array}{r} +30 \\ +50 \\ +54 \\ +37 \\ +39 \\ +20 \\ +13 \\ +19 \\ +4 \\ +14 \\ +10 \\ +12 \end{array}$	$\begin{array}{r} -50 \\ -31 \\ -7 \\ -19 \\ -24 \\ -23 \\ -28 \\ -29 \\ -30 \\ -33 \\ -12 \end{array}$	$\begin{array}{r} +48 \\ +18 \\ +62 \\ +36 \\ +39 \\ +33 \\ +23 \\ +17 \\ +14 \\ +11 \\ -1^* \\ +29 \end{array}$	$\begin{array}{r} -20 \\ -6 \\ -16 \\ -29 \\ -11 \\ -5 \\ -2 \\ -4 \\ -8 \\ -7 \\ -7 \\ -7 \\ -7 \end{array}$	318 349 362 370 357 338 318 306 293 284 281 293
Year	+10	-17	+26	-28	+28	-10	322

* Months for which the sign of the departure is opposite to that for the year.

PRESENTATION OF RESULTS

In Table 1, which contains the worldwide averages of total ozone for all available stations for each calendar month and year from January 1925 through December 1959, we may note particularly the following facts:

1. Throughout the 27-year period 1933-1959 inclusive, during which no annual average contained less than 24 station monthly means, a pronounced cyclical variation of over-all average total ozone is clearly evident, peak values being reached in 1933, 1941, and 1952, minimum values in 1937, 1946, and 1955.

2. The years of excessive or deficient average total ozone are surprisingly consistent throughout the 12 calendar months. This consistency is shown in Table 2, which lists the departure of the average of the total ozone for each of the 72 calendar months during the above six maximum and minimum years from the 1925–1959 calendar monthly averages. Of these 72 calendar months only four show departures of the opposite sign to that prevailing for the year, and of these four deviations, three occurred during the first five years of the 27-year period when the data presumably were more heterogeneous.

3. The three years of maximum total ozone occur from zero to three years before the minimum sunspot years of 1933, 1944, and 1954, and the three years of minimum total ozone occur from zero to two years before the sunspot maximum years of 1937, 1947, and 1957.

 TABLE 3.
 Lag Correlations of Worldwide Average

 Total Ozone and Sunspots

(Based on annual ozone values, 1933-1959.)

Lag, yrs.	r	Probable Error	Lag, yrs.	r	Probable Error
$-16 \\ -15 \\ -14 \\ -13 \\ -12 \\ -11 \\ -10 \\ -9 \\ -8 \\ -7 \\ -6 \\ -5 \\ -4$	$\begin{array}{r} +0.26\\ +0.63\\ +0.76\\ +0.60\\ +0.27\\ -0.10\\ -0.45\\ -0.68\\ -0.65\\ -0.33\\ +0.10\\ +0.56\\ +0.74\end{array}$	$\begin{array}{c} \pm 0.12 \\ \pm 0.07 \\ \pm 0.06 \\ \pm 0.08 \\ \pm 0.12 \\ \pm 0.13 \\ \pm 0.11 \\ \pm 0.07 \\ \pm 0.07 \\ \pm 0.13 \\ \pm 0.09 \\ \pm 0.06 \end{array}$	$ \begin{array}{r} -3 \\ -2 \\ -1 \\ 0 \\ +1 \\ +2 \\ +3 \\ +4 \\ +5 \\ +6 \\ +7 \\ +8 \\ \end{array} $	$\begin{array}{c} +0.66\\ +0.34\\ -0.02\\ -0.40\\ -0.68\\ -0.67\\ -0.43\\ +0.11\\ +0.58\\ +0.80\\ +0.70\\ +0.35\end{array}$	$\begin{array}{c} \pm 0.07 \\ \pm 0.12 \\ \pm 0.13 \\ \pm 0.11 \\ \pm 0.07 \\ \pm 0.07 \\ \pm 0.07 \\ \pm 0.14 \\ \pm 0.09 \\ \pm 0.05 \\ \pm 0.07 \\ \pm 0.13 \end{array}$

4. In the eight years previous to 1933 this relation between total ozone and sunspots breaks badly. The three very low years of 1929–1931 come shortly before the sunspot minimum of 1933, and the high values of 1925–1927 lead up to the sunspot maximum of 1927.

However, these early years are extremely heterogeneous as to the number and to both the geographical and seasonal distribution of the reporting stations. The confirmation of the geographical heterogeneity of the reporting stations was the purpose of the computation and the listing of the average latitude of the stations contributing to each monthly and annual average ozone value in the first eight columns of Table 1, for the years 1925–1932. Note in particular the following:

1. The low annual value for 1931 is made up of five monthly values for a single station (Arosa) for those five months of the year (August-December) when normal ozone is at a pronounced seasonal minimum.

2. The low annual value for 1930 represents a single station monthly mean (Arosa) for the month of August for which the ozone value normally lies much closer to the autumn minimum than to the spring maximum.

3. The low value for 1929 contains 32 station monthly means, but it happens that the average latitude of the contributing stations for this single year falls far into the lower latitudes (31°) where ozone values, particularly during the peak spring season, are normally far below those in higher latitudes.

4. The high values during 1925–1927 are contributed by stations (predominantly in the British Isles, average latitude 52°N) in a region where particularly the late winter and spring peak values run extremely high. The especially inconveniently high value of 366 in 1925 was contributed by ten monthly values from a single station (Oxford, England) from which the relatively ozone-poor months of December-January (average 19 points below the annual mean) were missing, whereas the ozone-rich months February-May (average 40 points above the annual mean) were all included.

For these reasons it is quite evident that the total ozone values of Table 1 for the period 1925-1932 must be considered completely nonrepresentative of worldwide total ozone. Conse-



Fig. 1. Correlation of average total ozone with number of sunspots (solid curve). Mean absolute latitude of area of sunspots (dashed curve).

quently it was decided to restrict the correlation of worldwide average total ozone values with annual sunspot numbers to the period 1933-1959 inclusive. Linear correlation coefficients were computed between the Zurich annual relative sunspot number and the average total ozone values as listed in Table 1 for the years 1933-1959. No smoothing either of sunspot or of ozone values was performed. The only manipulation of the data was to extrapolate the sunspot numbers through 1961 and 1962, with values of 60 and 40, respectively. These assumed values are extremely unlikely to be in error by more than ± 20 , and the correlation coefficients would be most insensitive ($< \pm 0.02$) to deviations of that amount. This addition of two annual sunspot numbers to the series was made to maintain the number of pairs (n) at positive lag at as high a level as possible. The number n remains at 27 for all lags computed from -16 through +3, falling to 22 at lag +8. Positive lag is chosen in these correlations to mean that sunspots are lagged after ozone values, i.e., taken the indicated lag number of years after ozone, or before if the lag is negative.

Table 3 contains the correlation coefficients, together with the corresponding probable errors, for lags from -16 to +8. The magnitude and significance of these correlations is really surprising. It must be recognized that the high degree of serial correlation which exists in both the sunspot data and the ozone data drastically reduces the statistical significance of the probable errors computed for n ranging from 22 to 27. The autocorrelation at one year's lag for the 27-year series is +0.80 for sunspots but only +0.54 for ozone. It cannot be stated just how much this serial correlation effectively reduces the degrees of freedom of the data, but probably it is by somewhat more than 50 per cent. Even so, the correlation remains highly significant.

The variation of the ozone-sunspot correlation with lag is represented by the solid line graph (Fig. 1). The evidence of this graph is even more striking than is that of Table 3. Note not only the exact replica of the 10-year period of

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TABLE 4. Contribution by Stations to Annual Average Total Ozone Values, 1925-1959

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TABLE 4. Cont.

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the sunspot cycle (almost exactly 10 years from 1917 to 1957), but even more the symmetry of the correlation curve with respect to maximum and minimum points, in spite of the well-known asymmetry of the sunspot cycle (descending branch averages 50 per cent longer than the ascending branch). If we discount the significance of Figure 1 on the basis that less than three complete cycles of the 10-year period common to both the sunspot and the ozone data are included in the correlation sample, then we should offer some likely explanation other than sunspots for the predominant 10-year period in the ozone data. There is no obvious explanation other than the random combination in the annual total

 TABLE 5.
 Values of Total Ozone and of the Average Latitude of Solar Spottedness

Year	Average Total Ozone	Average Latitude Sunspot Areas
1927		14.9
1928		13.3
1929		10.5
1930		9.8
1931		9.0
1932		9.1
1933	332	8.2
1934	317	17.4
1935	317	23.4
1936	320	20.9
1937	305	16.8
1938	310	15.2
1939	319	13.2
1940	338	11.4
1941	348	10.2
1942	341	8.8
1943	329	10.2
1944	322	15.7
1945	320	20.4
1946	294	20.7
1947	316	16.7
1948	316	14.6
1949	316	13.2
1950	321	13.3
1951	336	11.1
1952	350	8.2
1953	329	9.5
1954	328	20.0
1955	312	25.7
1956	314	21.0*
1957	314	18.5*
1958	326	16.5*
1959	325	14.5^*
1960		12.0*
19 61		10.0*

* Extrapolated values.

ozone averages of station monthly means that may be widely variable as to latitude and season. For those who may wish to check in any manner the actuality of such variability as it is reflected by any of the annual average ozone values of Table 1, the monthly contributions to each annual average are listed in that table. Table 4 is introduced to facilitate the computation of the latitudinal variability of the annual average ozone totals. This table lists the number of monthly mean values contributed by each station to each annual average ozone value.

It appears that total atmospheric ozone may be used with statistical plausibility to forecast sunspots 8 years in advance. The physical plausibility of such prognosis is much more difficult to justify. However, it is not entirely inconceivable that possible solar radiations affecting the ozone balance might be related to the cycle of solar activity in such a manner as to have almost any phase displacement relative to the sunspot number. Be that as it may, the comparative shape of the ozone curve at cyclical minima indicates a sunspot minimum extending from 1963-1965, a flat minimum with annual values probably remaining above 10. It is most probable, however, that as we approach a point of change from a short active (10-year) solar cycle to a long inactive (12-year) solar cycle, as may well be the case at the present moment, the pattern of the ozone-sunspot relationship must undergo a readjustment that will temporarily affect its prognostic applicability.

TENTATIVE COMMENTS ON THE RESULTS

It will require much more quantitative information than is now available about the higher atmosphere and the variable solar emissions incident thereon even to hypothesize a physical explanation of any fluctuation of total ozone with the cycle of solar activity. There are, however, several comments, primarily meteorological in their implications, which may be offered with reference to the ozone-sunspot relationship indicated above:

1. The explanation of any real correlation, such as that indicated between total ozone and sunspots, might lie primarily either in a direct insolational effect on the ozone balance in the ozonosphere, or the explanation might lie indirectly in a large-scale insolational effect on the over-all planetary circulation, particularly

TABLE 6. Lag Correlations of Worldwide Average Total Ozone and Mean Latitude of Total Sunspot Area

(Based on ozone values 1933--1959.)

Lag, yrs.	r	Probable Error	Lag, yrs.	r	Probable Error
-6 -5 -4 -3 -2 -1	+78 +66 +35 -02 -37 -62	± 0.05 ± 0.07 ± 0.12 ± 0.13 ± 0.12 ± 0.08	0 +1 +2 +3 +4 +5	$-69 \\ -42 \\ +08 \\ +56 \\ +74 \\ +63$	± 0.07 ± 0.11 ± 0.13 ± 0.09 ± 0.06 ± 0.08

one that consistently alters circulatory or turbulent exchange between the ozonosphere and the lower stratosphere. However, in view of the marked consistency of the relationship on a worldwide basis and of the failure heretofore to find any correspondingly significant and consistent correlation between sunspots and atmospheric circulation, it appears highly probable that the primary explanation must be looked for in a direct insolation effect, presumably in the spectral distribution of insolational energy in the ultraviolet.

One further fact supports the argument that it is primarily the variable net insolational creation of ozone rather than the vertical transport of ozone by atmospheric circulation or turbulence that causes the fluctuation of total ozone with the sunspot cycle. Chistyakov and Teifel [1956] find some evidence from studies of noctilucent clouds that the effective height of the ozone laver (ozone shadow) in the atmosphere varies inversely with the sunspot number. This negative correlation between the effective height of the ozone laver and sunspot number requires an effective net increase of ozone production in the upper ozone levels at time of fewer sunspots. This would imply in the upper ozone levels a relative increase of solar ultraviolet in the shorter wavelengths (< 2000 A) at sunspot minimum, and a relative increase in the longer wavelengths (> 2400 A) at sunspot maximum. More measurements of the vertical distribution of ozone should finally resolve the question of the relative importance of direct insolational versus indirect circulatory factors in any ozone-sunspot relationship.

2. Walker's southern oscillation, statistically the most significant phenomenon of the general circulation, shows significant positive correlation with sunspots at about $2\frac{1}{2}$ years' lag [Willett, 1950], as against a negative correlation of ozone with sunspots at about $1\frac{1}{2}$ years' lag. The southern oscillation seems to reflect, at least in part, a change of continentality, or seasonal 'breathing' of the Asiatic continent. If changes of total atmospheric ozone of the amount observed can alter appreciably the 'Greenhouse effect' of the atmosphere, then a change of continentality might reasonably be associated with any sunspot-ozone cycle.

THE RELATIONSHIP OF TOTAL ATMOSPHERIC Ozone to the Mean Latitude of Solar Spottedness

After the first submission of the preceding manuscript, Professor Starr at the Massachusetts Institute of Technology suggested that possibly the equatorward progression of solar spottedness in the course of the 11-year cycle is connected with the apparent phase relationship of total atmospheric ozone to sunspot number. It was decided to check this possibility statistically. Monthly and annual values of the average latitudinal distance from the solar equator of the total area of spottedness on the sun are available for the years 1873–1955 inclusive in the Greenwich Observatory publications, 'Sunspot and Geomagnetic Storm Data.'

Since this mean solar latitude of total spottedness runs in a cycle that is even more regular than that of the sunspot number, the annual values in this series were extrapolated for the years 1956-1961 inclusive, to facilitate the lag correlation of the 1933-1959 annual mean total ozone values with the average latitude of solar spottedness. Any likely errors in this extrapolated curve will have an extremely small effect on the correlation coefficients.

Table 5 contains the values of total ozone and of the average latitude of solar spottedness that were correlated.

The correlation coefficients that are obtained when sunspot latitude is lagged from -6 to +5 years relative to total ozone are contained in Table 6. Thus we note that the negative correlation of approximately -0.70 between the mean latitude of solar spottedness and total ozone is almost exactly equal in magnitude to that between total ozone and sunspot number. However, the phase lag shifts from approximately -18 months with sunspot number to approximately +4 months with the mean latitude of total sunspot area. This phase shift is immediately obvious from Figure 1, in which the dashed curve represents the time-lag graph of the ozone-sunspot latitude correlations, corresponding exactly to the solid line time-lag graph of the ozone-sunspot number correlations.

With respect to this phase shift it is interesting to note that for the 1933-1959 period the annual sunspot number correlates +0.19 contemporarily with the mean latitude of total sunspot area, +0.71 with mean latitude 1 year previously, and +0.92 with mean latitude 2 years previously. Total sunspot area correlates contemporarily +0.98 with sunspot number.

This phase relationship indicates clearly that, insofar as the variability of atmospheric ozone may be causally related to sunspot activity, it is the mean latitude of the solar spottedness rather than the number or total area of the spots that is significant. The same conclusion may be drawn with respect to the southern oscillation and other meteorological activity that tends to precede the sunspot cycle by approximately 2 years.

Since the range of position of the earth on the ecliptic lies between $\pm 7^{\circ}$ of the solar equator,

the apparent effectiveness of sunspots in depressing total atmospheric ozone seems to be very sensitive to the degree to which the spottedness directly faces the earth. This result supports the very tentative hypothesis that some ozone-depressive radiation, presumably ultraviolet in the 2400- to 2900-A range, is largely beamed directly upward from its source in disturbed areas connected with sunspots on the sun's surface.

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