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Climate forcing reconstructions for use in PMIP simulations of the last millennium (v1.0)

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Abstract

Simulations of climate over the Last Millennium (850–1850 CE) have been incorporated into the third phase of the Paleoclimate Modelling Intercomparison Project (PMIP3). The drivers of climate over this period are chiefly orbital, solar, volcanic, changes in land use/land cover and some variation in greenhouse gas levels. While some of these effects can be easily defined, the reconstructions of solar, volcanic and land use-related forcing are more uncertain. We describe here the approach taken in defining the scenarios used in PMIP3, document the forcing reconstructions and discuss likely implications.

10 1 Introduction

As part of the lead up to the Intergovernmental Panel on Climate Change (IPCC) Fifth Assessment report (AR5), modelling groups around the world are coordinating a series of simulations under the umbrella of the fifth Coupled Model Intercomparison Project (CMIP5 <http://cmip-pcmdi.llnl.gov/cmip5/>, Taylor et al., 2009). These simulations will cover the 20th century, various scenarios into the future and three paleo-climate simulations for the Last Glacial Maximum (LGM), the mid-Holocene (MH) and the Last Millennium (LM) in collaboration with the existing Paleoclimate Model Intercomparison Project Phase III (PMIP3 <http://pmip3.lsce.ipsl.fr/>, Otto-Bliesner et al., 2009). This paper will discuss the choices made in determining the climate forcings for the LM simulations.

The LM simulations will start in 850 CE and go to 1850 CE where they are expected to match up and continue with the 1850–2005 simulations that are also being prescribed by CMIP5. The start date of 850 CE is determined by the lack of availability of some forcings prior to that period, and a desire to have the transient simulation start earlier than the classic Medieval period (1000–1200 CE) which has been of particular

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Since some aspects of these reconstructions are unconstrained (more so in the earlier periods), the procedure we adopt here is admittedly ad-hoc, though plausible. Other choices could be made, but these will not greatly affect the range of forcings through time. Our aim is not to produce definitive reconstructions, but to allow the structural uncertainty in these reconstructions to be tested in a coherent environment.

2 Forcings over the last millennium

We describe here the first-order forcing functions that are relevant for this period (Table 1). This choice is determined by the availability of data and our current state of knowledge, and is therefore not anticipated to be a complete accounting. Other forcings, for instance related to dust or other aerosols, may have played a role, but cannot at this time be specified sufficiently for use in an intercomparison such as this. All time-series and reconstructions are available via the Supplemental Information and online at the PMIP3 website.

2.1 Orbital variations

Over the period 850 CE to present, the principal orbital change is the ~17 day shift in the perihelion from 15 December to 4 January, leading to a progressive increase in insolation in the early Northern Hemisphere summer relative to the later part (Berger, 1978; Laskar et al., 2004). There are also small decreases in eccentricity and obliquity. These shifts cause a decrease of about 9 W/m^2 in August, and an increase of 3 W/m^2 in May, in high northern latitudes. In the Southern Hemisphere, there is a decrease of a few watts in November, and a similar increase in February (Fig. 1).

2.2 Well-mixed greenhouse gases

Variations in the principal well-mixed greenhouse gases (CO_2 , CH_4 and N_2O) can be derived from high resolution ice cores in Antarctica. Law Dome results are used

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exclusively for CO₂ and CH₄ (Etheridge et al., 1996, 1998; Ferretti et al., 2005; MacFarling Meure et al., 2006), while for N₂O multiple cores are used in order to get a more robust signal (Flückiger et al., 1999, 2002; Machida et al., 1995). These variations have been tied to the post-1850 record (Hansen and Sato, 2004) using a spline fit, after ad-

5 justing the CH₄ record for the difference between the global mean and Antarctic values (16 ppb for CH₄), and smoothing so that only decadal-scale and longer variations are retained (Joos and Spahni, 2008); a nominal cut-off period of 40 years was applied for CO₂ and CH₄ and of 100 years (200 years before 1600 CE) for N₂O (Fig. 2).

The variations seen are related both to natural feedbacks in the carbon and nitrogen 10 cycles to changes in climate, but also some initial anthropogenically driven perturbations, although the attribution of these relatively small changes prior to the industrial period is difficult (Gerber et al., 2003).

2.3 Land use/land cover (LULC)

The reconstruction of LULC adopted by PMIP (Pongratz et al., 2008) covers the time 15 period 800 to present day. It is based on published maps of agricultural areas for the last three centuries and a country-based approach for earlier times that uses population data as a proxy for agricultural activity. The resulting dataset contains annual maps of the agricultural types cropland, C3 pasture, and C4 pasture (Fig. 3). Allocation rules were developed that determine which type of natural vegetation within a grid cell is 20 affected by the reconstructed changes in agriculture, and extend the dataset to include area changes of natural vegetation (eleven types of forest, shrubland, and grassland). This land cover change has a direct impact on the albedo of the surface, but also indirect impacts on water cycling, surface roughness and soil characteristics. There is also an implied impact for CO₂ emissions and CO₂ uptake capacity of the terrestrial 25 biosphere, but which are not considered here since they are implicit in the greenhouse gas concentration changes described above.

The reconstruction can be used through to the present day, with the more recent (1700 onwards) land use changes equivalent to those in the Ramankutty and Foley

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2.4 Volcanic forcing of stratospheric aerosols

Gao et al. (2008) (hereafter GRA) and Crowley et al. (2008) (hereafter CEA) provide two different reconstructions of aerosol optical depth due to volcanoes from stacks of well-dated ice core sulphate records from both polar regions. They differ in the transfer function for the sulphate ice core concentrations to aerosol optical depth (AOD) and in the screening processes for deciding what is and is not a globally important eruption. Cores from both Greenland and Antarctica are used to determine whether any particular peak is local, hemispheric or global in extent and thus likely to have reached the stratosphere. Historical records of eruptions are used to assign peaks to specific years and assess the likely extent of the resulting sulphates.

2.4.1 Implementation

The GRA procedure is to estimate the total stratospheric aerosol load and then use a model based estimate of the latitudinal, height and time distribution of the aerosols. The provided files contain estimates of the stratospheric aerosol loadings ($\text{kg SO}_4/\text{km}^2$) which can be converted into a global AOD by linear scaling (Stothers, 1984). The conversion to AOD is valid for aerosols with effective radius in the visible spectral range. CEA provide an estimate of AOD directly from a scaling with modern observational estimates and from the evolution of the Pinatubo aerosols. The load can be estimated by reversing the above scaling.

The difference in the two reconstructions can be seen in Fig. 4 (including the 20th century to allow comparison with the Sato et al., 1993 data). Different events are captured in each case, and different amplitudes are often inferred.

In the modern period, the dataset of Sato et al. (1993) (and updates) also includes variations in the effective radius (r_e in μm) of the aerosol particles based on observations of changes from El Chichon (1982) and Pinatubo (1991):

$$r_e = 0.20 + \tau_{\max}(\phi) \times 0.75 \times f(t - t_0)$$

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where $\tau_{\max}(\phi)$ is the maximum optical depth at each latitude for each eruption and $f(t)$ is a decay function (peaking at 1.3 years and decaying to zero at 5 years). The details of this process may be relevant to the apparent non-linear response to large volcanoes in the past (Timmreck et al., 2009), and is another source of uncertainty in these reconstructions.
5

CEA include estimates of aerosol size, scaling to Pinatubo r_e for eruptions up to that magnitude and for eruptions greater than 0.2 AOD, they use a two-thirds power scaling for AOD and a one-third power scaling of the AOD for r_e , with maximum estimate of r_e about 0.9 μm . For the GRA reconstruction, either recipe (or none) for r_e may be used.

10 2.5 Solar variations

Changes in irradiance are the most important known component of solar activity that affects climate. Other aspects of solar activity – for instance, the role of sun's magnetic field in shielding the planet from cosmic ray particles and possibly affecting clouds (Ney, 1959; Dickinson, 1975) are generally correlated to irradiance changes at least over decadal periods.
15

Observations over the 30-year satellite period confirm that there is a quasi-11 yr cycle in total solar irradiance (TSI) in phase with sunspot activity, and that the spectral signature of the irradiance variations is distinct, with higher energy frequencies (UV, X-ray etc.) varying more strongly over the cycle than the TSI (Lean et al., 1995; Floyd et al., 2003; Harder et al., 2009). Models for the irradiance have been successful at matching the observations based on sunspot numbers, magnetic activity and the area of bright faculae surrounding the sunspots (Fröhlich and Lean, 1998; Krivova et al., 2003; Wenzler et al., 2006). However, there is some debate over whether there is a background variation in irradiance (the “network”) that is not easily determinable from observations of current activity (Foukal et al., 2006; Wang et al., 2005; Krivova et al., 2007), although the lower irradiance level during the minimum following solar cycle 23 (i.e. in the years 2007–2009) suggests that there is indeed such a variation.
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Prior to the satellite era, solar activity can be tracked by various proxy instrumental and geophysical measurements. Over longer time periods, there are records of cosmogenic isotopes such as ^{14}C in tree-rings, and ^{10}Be or ^{36}Cl in polar ice cores. These are formed by the nuclear reactions between cosmic ray particles and atoms in the atmosphere and increase in abundance in anti-phase with solar activity. Connecting these proxies to the short record of satellite-derived solar irradiance is however difficult both technically and theoretically. For instance, many of the proxies do not overlap with the modern instruments: ^{14}C records have been contaminated by anthropogenic fossil carbon releases and atmospheric nuclear bomb tests, some ^{10}Be records do not have very good resolution in the 20th century and varying trends, and there have been measurement practice changes through time in sunspot counts, geomagnetic indices and cosmic ray intensity. The theoretical difficulties are also important. First, there is a small impact of historical geomagnetism changes on cosmic ray shielding. Second, the factors that affect open solar magnetic field are uncertainly linked to solar irradiance, though much work is being done to elucidate the connection (Wang et al., 2005; Krivova et al., 2007; Vieira et al., 2010). Additionally, the relationships of the cosmogenic archives to global mean production levels may be themselves somewhat affected by climate (Field et al., 2009; Heikkilä et al., 2008). Nonetheless, there is sufficient qualitative overlap of the different proxies where they are available to combine them quantitatively to produce a homogeneous record of solar activity back through time (Vonmoos et al., 2006; Usoskin et al., 2009).

2.5.1 Available input data

The raw material out of which the solar reconstructions are built consist of: (i) direct satellite observations of TSI since 1978 (Fröhlich, 2009), (ii) the Mg II index (from 1978) (Viereck et al., 2004), (iii) Magnetograms (from 1974) (Wenzler et al., 2004), (iv) F10.7 radio flux (from 1947) (Tanaka et al., 1973), (v) instrumental records of cosmic ray intensity from neutron monitor counting rates since 1951 and ionization chambers back to 1933 (McCracken and Heikkila, 2003; McCracken and Beer, 2007), (vi) geomagnetic

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Before 1610, reconstructions must rely on the cosmogenic isotopes, principally ^{10}Be and ^{14}C . Taking past variations of the geomagnetic field into account, the solar activity (described by the solar modulation function Φ) can be obtained from the isotope records. However, the relationship between Φ and irradiance is complicated (Usoskin et al., 2002; Steinhilber et al., 2009). At least four groups have proposed Φ reconstructions from cosmogenic isotopes. Two of them (Muscheler et al., 2007; Delaygue and Bard, 2010) (hereafter MEA and DB) did not make an independent physical calibration to irradiance, and so we use a linear relation derived from the WLS modern-to-Maunder Minimum differences. Two other groups have used a chain of physically-based models to link Φ to irradiance using either the observed long-term relationship between total solar irradiance and open solar magnetic field (Fröhlich, 2009; Steinhilber et al., 2009, hereafter SBF) or a physical modeling of the solar surface magnetic flux and its relationship with the isotopes (Vieira et al., 2010, hereafter VSK).

2.5.2 Solar reconstructions for PMIP

As discussed above, each reconstruction for use in a GCM needs to have: TSI values back to 850, a solar cycle (of varying amplitude) over the whole record and spectral variations consistent with those changes.

We calibrate all the TSI variations to have the same value in the modern period. We choose to use the 1976–2006 mean (approximately 3 cycles) from the WLS reconstruction (1366.14 W/m^2). Recent estimates from the SORCE/TIM instrument suggest that the absolute value for the mean solar irradiance is closer to 1361 W/m^2 (Kopp et al., 2005), but for any models that use this or a different modern TSI (e.g., Mekaoui et al., 2010), we suggest simply scaling everything by a suitable factor.

We define a long-term mean TSI using a 40 year triangular filter. A standard “11-year” cycle is found by taking the maxima in the 20th century record of WLS no-background case, calculating the anomaly from the 40 yr smooth, normalising the

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cycle by the maximum value and averaging over the cycles to give a fixed 11-year shape (Fig. 5).

Since the maximum of a solar cycle is correlated to the 40 year smooth TSI anomaly (Fig. 6), we can use this relationship to define a scaling between the long-term smoothed reconstruction anomaly and a plausible solar cycle:

$$SC_{\max} = \max(0, \bar{S}(t_{\max}) + 0.433(\bar{S}(t_{\max}) - \bar{S}(t_{MM})) / \Delta S)$$

where SC_{\max} is the solar cycle maximum anomaly at time t_{\max} , $\bar{S}(t)$ is the smoothed TSI time-series, $\bar{S}(t_{MM})$ is the value of the irradiance at the Maunder Minimum (MM) in any specific reconstruction, and ΔS is the difference in smoothed TSI between the MM and modern. For values of TSI at or below MM values, we set the solar cycle magnitude to zero. Using of an alternate scaling as illustrated in Fig. 6 would increase the amplitude of the cycles by about 20%.

Spectral solar irradiance (SSI) variations occur over solar cycles and the longer term, but are not purely related to the TSI value because of the different influences of sunspots and faculae on different time scales at different wavelengths (Lean, 2000). However, linear regressions of TSI with the irradiance at each spectral interval explain around 97% of the variance at most wavelengths, implying that TSI can be used as a reasonable first-order predictor of the SSI prior to 1610. The regression of the WLS with-background TSI with the Lean (2009) SSI shows that the UV portions of the spectra have a much higher percentage variation over solar cycles (and presumably, longer time scales) than TSI (Fig. 7). Note that a number of different estimates of the spectral variations are emerging from new data and models (Krivova et al., 2010; Harder et al., 2009) but are not yet available for these purposes.

For the two long term reconstructions of Φ , we calibrate them directly to the WLS reconstructions back to 1610 (and for both the background and no-background cases) and produce a consistent reconstruction from 850 to 1609 which smoothly blends into the WLS values and the solar cycle phase. For the two reconstructions (VSK and SBF) that have independent calibrations to the Maunder Minimum values (one slightly

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greater in amplitude than the WLS with background case, one slightly smaller), we smoothly join the reconstructions in 1850 to the WLS with background values. We choose 1850 in these cases since the structure of the modern cycles is more accurate in the WLS values, and so that there is maximum coherence with 20th century transients. Other splice years are possible and could be examined in additional sensitivity experiments.

Thus, we present a series of six alternative reconstructions (Fig. 8) that differ in their source data, processing, and calibration. Two of the six match up to the no-background WLS case, two match up with the WLS with-background case, and two others provide alternate estimates of the changes at the Maunder Minimum (matching up to WLS with-background in 1850). If a modelling group is using the WLS no-background case for the 20th century, they have a choice of two alternative continuations back to 850, while if they use the with-background case, they have a choice of four independent reconstructions for the earlier period. There are also four choices of alternate estimates of TSI during the Maunder Minimum (and any earlier grand minima).

The decrease in TSI at the Maunder Minimum (defined here as the 40-year smoothed value at 1680) compared to the modern average is a 0.04% decrease for the WLS no-background reconstructions, 0.08% for WLS with-background, 0.06% for SBF, and 0.10% for VSK. The corresponding radiative forcings are between 0.10 and 0.23 W/m² and are close to the best estimate given by IPCC AR4 (Forster et al., 2007, Table 2.10) of 0.19 W/m². These changes are substantially smaller than seen in some earlier reconstructions (e.g., Hoyt and Schatten, 1998). However, the calibrations for the Maunder Minimum used previously are no longer justifiable in the light of new information and so are not considered here (Foukal et al., 2006; Forster et al., 2007; Gray et al., 2010).

2.5.3 Solar-related ozone changes

In order to better match up with 20th century simulations that include interactive chemistry and specifically allow ozone to vary as a function of incoming UV, we have made

available a parameterisation of the solar-derived ozone changes taken from a coupled chemistry-climate model (Shindell et al., 2006). This can be used in non-interactive runs as a modification of the basic ozone fields that is in phase with the solar activity and scales with the strength of the total solar irradiance variation relative to the modern mean value.

The ozone fields come from model calculations performed for modern-day solar maximum and minimum conditions. This corresponds to a 1.1 W/m^2 irradiance change at the top of the atmosphere and includes spectral variations from Lean (2000) (Fig. 7), with the difference between equilibrium responses averaged over 70 years of model output. Ozone in the middle stratosphere increases with irradiance, with a maximum enhancement of $\sim 2.5\%$ at 10 hPa. Increases are largest in the tropics and mid-latitudes. The magnitude of change decreases above and below the middle stratosphere, becoming negative above ~ 1 hPa. These results are similar to those seen in other photochemical models. Modern satellite observations are consistent in showing increases in stratospheric ozone with irradiance of 0–3%, but differ in both the vertical and latitudinal dependence of the increases (e.g., Soukharev and Hood, 2006).

In the original modelling study (Shindell et al., 2006), these ozone changes increased stratospheric temperatures mostly around 1 hPa, with a maximum of $\sim 0.6\text{--}0.7^\circ\text{C}$ at mid-latitudes in both hemispheres. Warming extended throughout the atmospheric column, though values were small in the troposphere ($< 0.3^\circ\text{C}$). Relative to simulations without ozone changes, there was an additional warming of 0.2°C from 100 hPa to the top of the atmosphere, and 0.05°C in most of the mid-latitude and tropical troposphere. Circulation changes (associated with the strength of wintertime westerlies) were also directly influenced by the inclusion of the ozone response. The exact pattern of ozone changes and temperature response will however be sensitive to uncertainties in the spectral variations in irradiance discussed above. Ideally, a new parameterisation could be made for each substantially different spectral estimate.

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3 Discussion

Given the variation in plausible reconstructions of the forcings, input data and techniques used, it is clear that picking a single “best” set is fraught with difficulty. Even though it would be easiest for modelling groups to only use one set of reconstructions, 5 the very real uncertainties associated with that choice would not be apparent in the range of simulations made available for analysis.

Given as well the undoubted public interest in the solar component of post-LIA climate change, and the perhaps disproportionate attention given to Medieval climate changes, picking any one reconstruction – each of which has different levels 10 of medieval-to-modern and post-LIA change – might be seen as attempting to bias the results of the models.

We underline that these reconstructions are designed for a very specific purpose related to the PMIP3 simulations. They should not be used for sub-multi-decadal correlations to proxy data (since the high frequency variability is synthetic prior to the 15 merge with WLS and very likely out of phase with the real quasi-decadal solar cycle). Neither should they be taken as an endorsement of the WLS, VSK or SBF estimates of the background changes at the Maunder Minimum. This calibration is still very much a focus of current research. Instead, we hope that the range of reconstructions given 20 is wide enough so that the structural uncertainty in forcing can be appropriately taken into account in any climate change attribution studies.

There are undoubtedly further variations that could be assessed. For instance, use 25 of the Krivova et al. (2010) spectral changes or any new reconstruction derived from the Harder et al. (2009) spectral changes might be warranted, particularly in simulations with interactive ozone. Furthermore, other TSI reconstructions are also being developed (Shapiro et al., 2010) which suggest a larger change in the Maunder Minimum, but which were not available for use at time of writing. We hope to be able to provide updates to these forcings over time.

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Table 1. Summary of Last Millennium boundary conditions.

Feature	PMIP3 recommendation	Alternate solution
Orbital parameters	Annually varying	
Date of vernal equinox	21 Mar at Noon	
Well-mixed greenhouse gases	Annually varying	
Volcanic aerosols	Two reconstructions (AOD, effective radius)	
Solar irradiance	Multiple reconstructions (including spectral variations)	
Ozone	Parameterisation of solar-related variations	Same as PI-control*
Tropospheric aerosols	Not prescribed	Same as PI-control
Vegetation	Land cover change (natural vegetation to crop/pasture)	Same as PI-control
Ice sheets	No changes from PI-control	
Topography and coastlines	Same as in PI-control	

* PI-control is the pre-industrial CMIP5 control simulation (conditions circa 1850).

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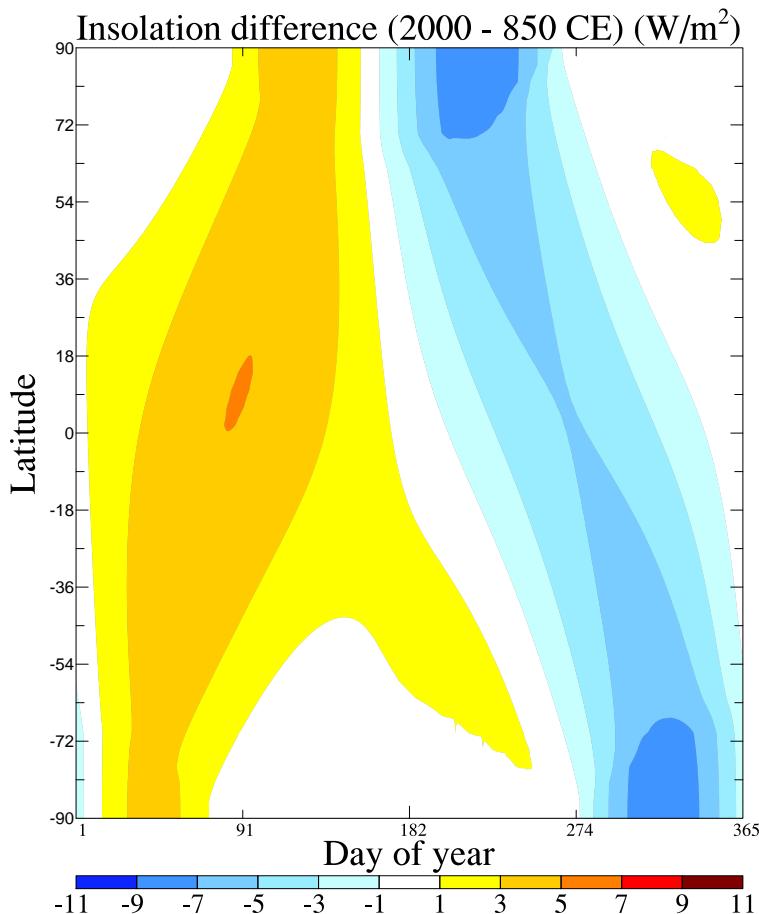


Fig. 1. Insolation difference (2000–850 CE) (W/m^2) as a function of latitude and Julian day.

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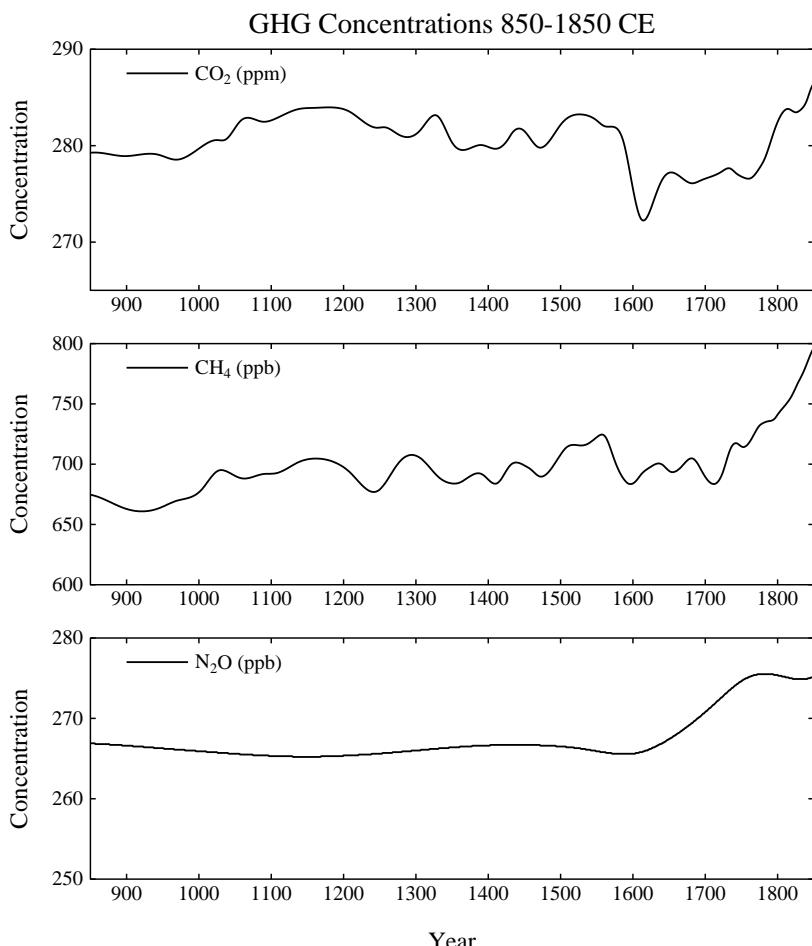


Fig. 2. Well-mixed greenhouse gas concentration changes from 850 to 1850 CE.

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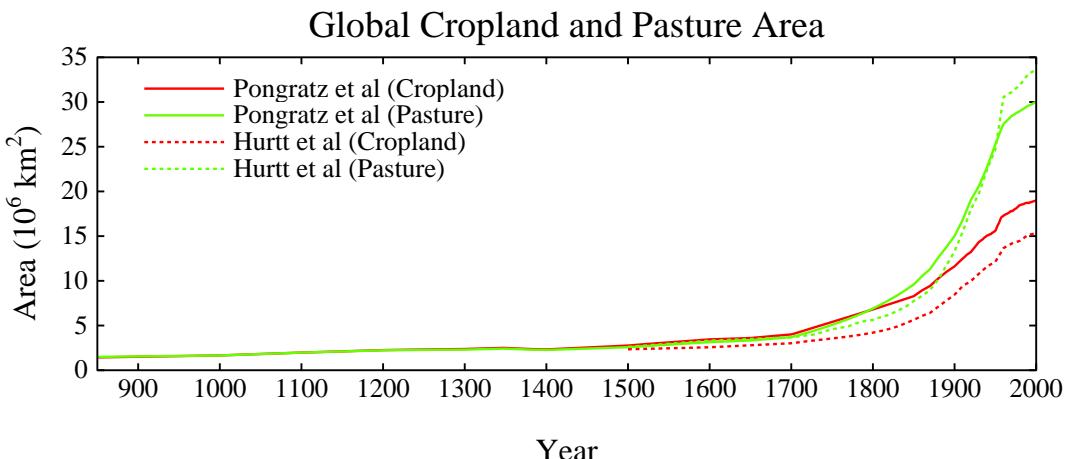


Fig. 3. Global mean cropland and pasture area in the Pongratz and Hurtt datasets.

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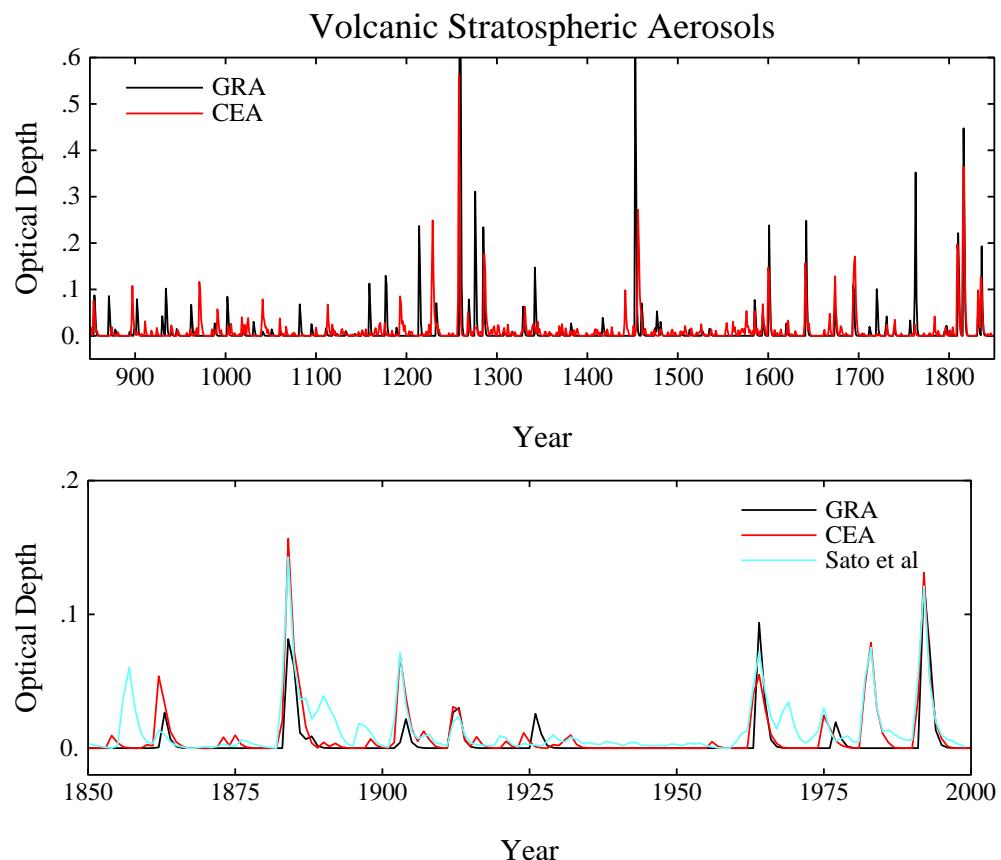


Fig. 4. The two volcanic reconstructions of AOD (at 550 μm) (GRA and CEA) (top), with a comparison to the modern estimates of Sato et al. (1993) (bottom) (note the different vertical scales in the two panels).

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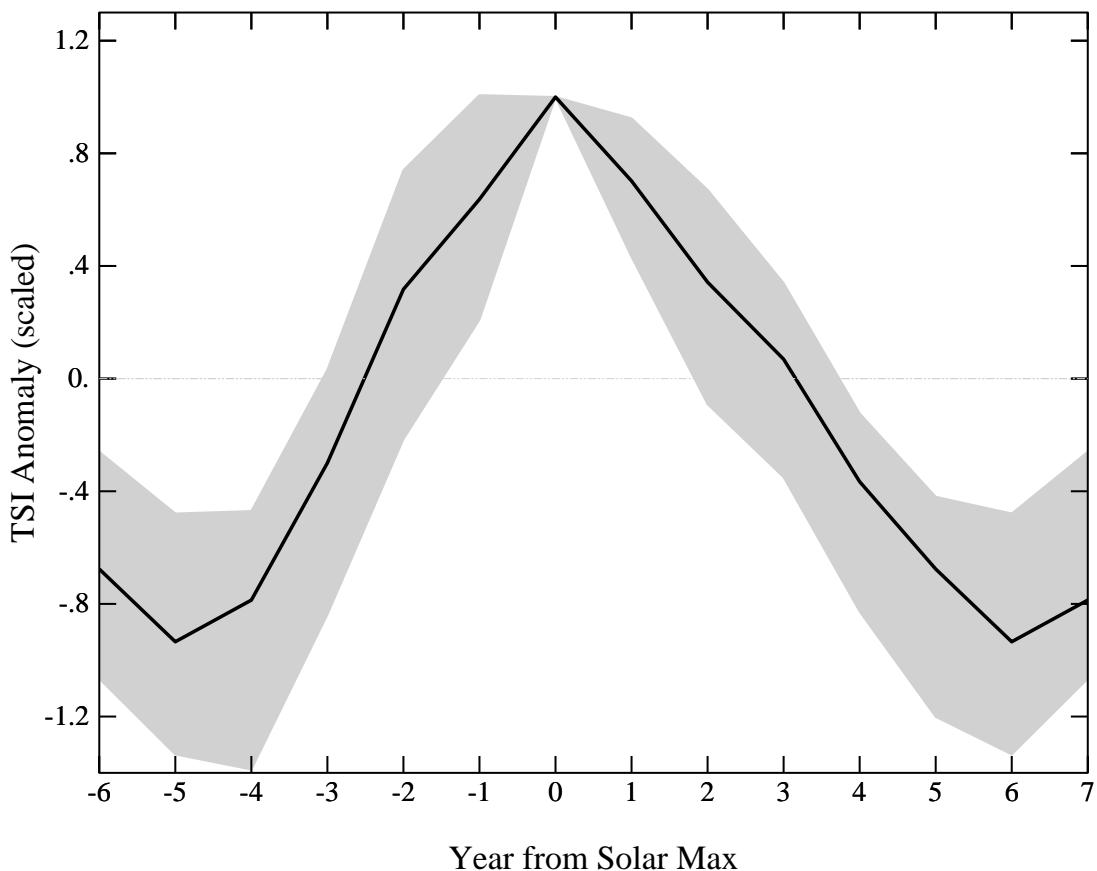


Fig. 5. Normalised mean solar cycle anomaly with respect to the 40-year smoothed irradiance, derived from the no-background data of WLS. Greyscale is one standard deviation from the underlying individual cycles.

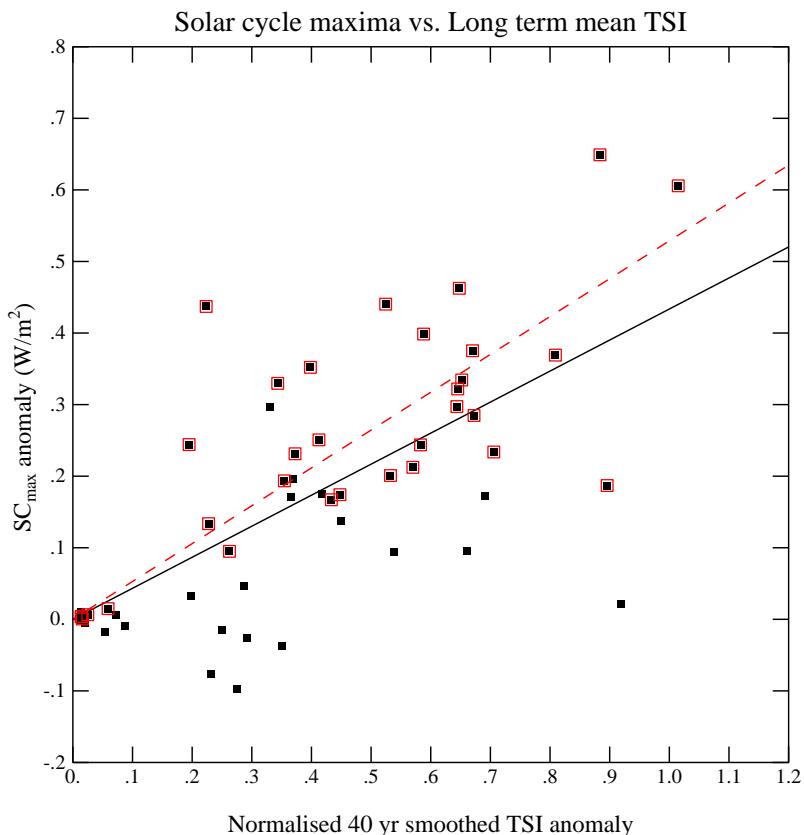


Fig. 6. Solar cycle maxima versus the 40-year smoothed irradiance data from WLS. Linear regression through the origin using all local maxima (solid line) gives $SC_{\max}=0.433$ ($\bar{S}(t_{\max})-\bar{S}(t_{MM})/\Delta S$, ($r^2=0.47$). Note that a tighter screening of which points count as solar cycle maxima and only using local maxima that exceed the long-term mean (overlaid red symbols) gives a slightly steeper regression of 0.53, ($r^2=0.56$) (dashed line).

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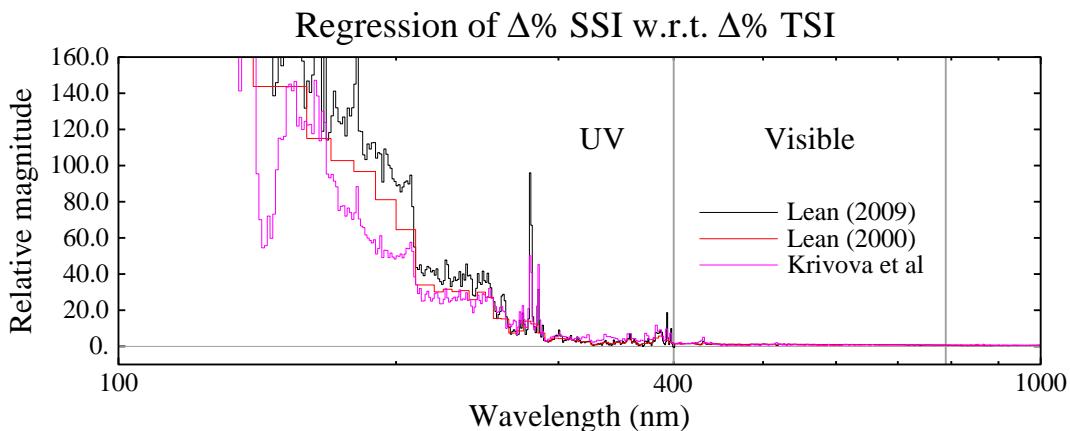
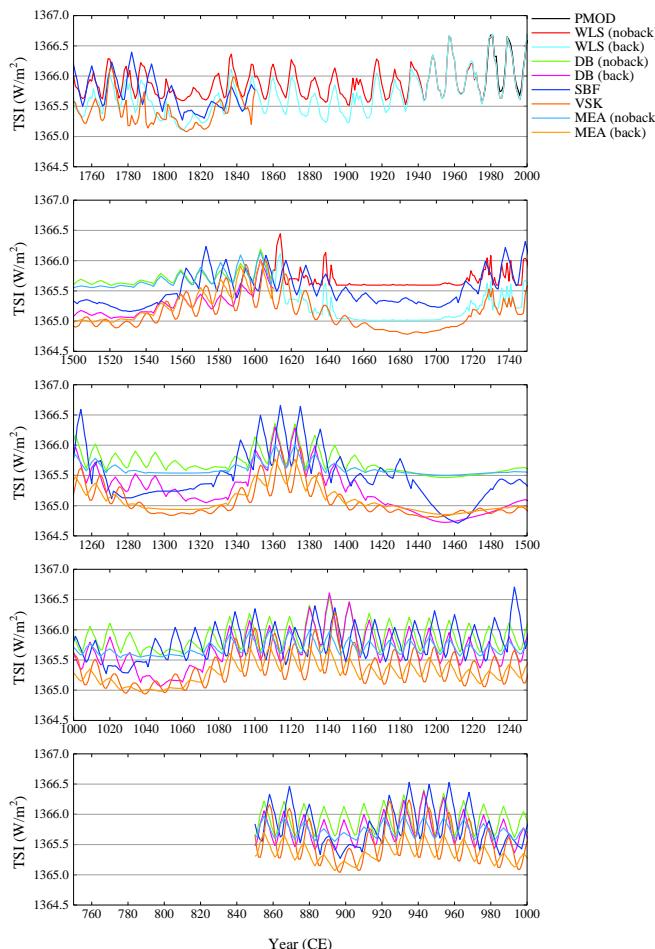


Fig. 7. Spectral variations of irradiance relative to the variation in TSI (regression of the % change in SSI at each wavelength to the % change in TSI). A relative magnitude of 20 implies that variations at that wavelength would be around 2% over a cycle, i.e. $20 \times$ the $\sim 0.1\%$ variation in TSI. The reference period used for the Lean (2009) and Krivova et al. (2010) curves are from the Maunder Minimum (1645–1715), while it is 1850 for Lean (2000). Note there are fewer wavelength bands in the older data.

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**Fig. 8.** Multiple TSI reconstructions from 850 CE onwards.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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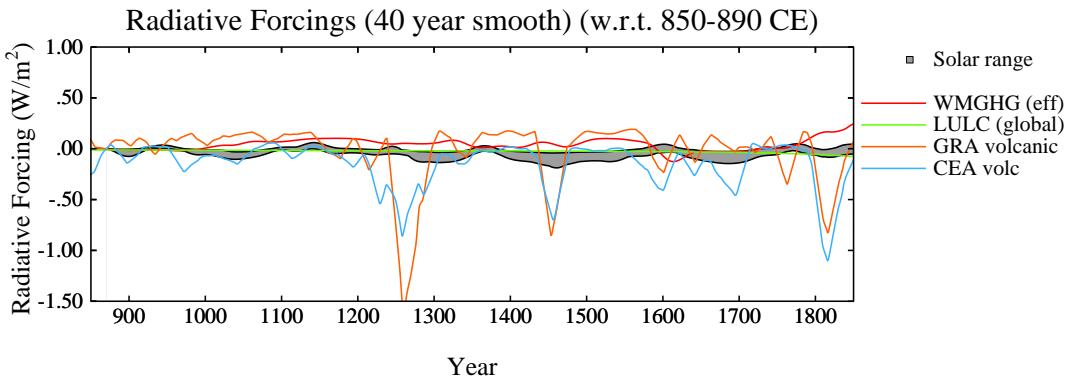


Fig. 9. Radiative forcing from well-mixed greenhouse gases (using the IPCC formulas and efficacy from Hansen et al., 2005), LULC-related albedo change (Pongratz et al., 2009), a smoothed envelope of solar forcing, and the two smoothed volcanic reconstructions. Smoothing is with a 40-year triangular filter.

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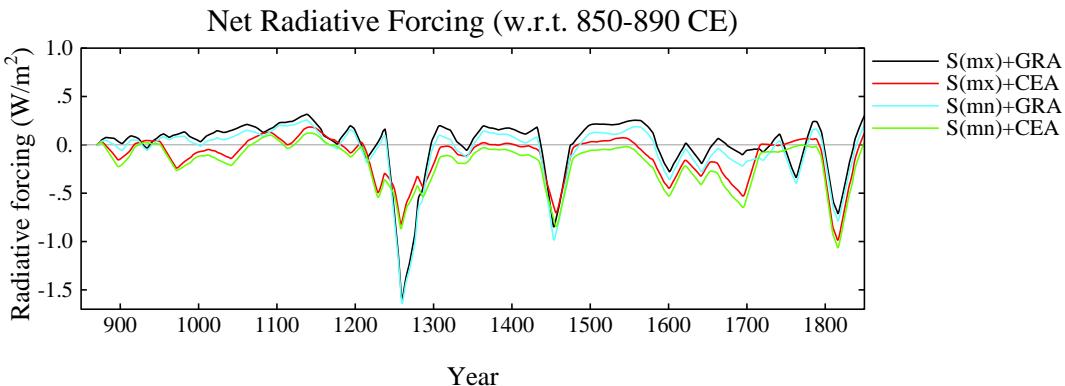


Fig. 10. The net radiative forcing encompassing variation from the solar envelope and the two volcanic reconstructions and smoothing as in Fig. 9. The zero-line is with reference to the earliest 40 year period.

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